

myAvatar v2021 Real World Testing Plan

2022 Measures

Prepared for

Drummond



Netsmart

www.ntst.com

11100 Nall Avenue
Overland Park, KS 66211
800.842.1973

Table of Contents

Table of Contents	2
General Information	3
Justification for Real World Testing Approach	3
Standards Updates (SVAP and USCDI)	3
Care Setting(s)	4
Overall Expected Outcomes	4
Schedule of Key Milestones	5
Measures Used in Overall Approach	5
§170.315(b)(1) – Transitions of Care.....	5
§170.315(b)(2) – Clinical Information Reconciliation and Incorporation	6
§170.315(b)(6) – Data Export.....	7
§170.315(c)(1) – Clinical Quality Measures (CQMs) – Record and Export	8
§170.315(c)(2) – Clinical Quality Measures (CQMs) – Import and Calculate	9
§170.315(c)(3) – Clinical Quality Measures (CQMs) - Report.....	10
§170.315(e)(1) – View, Download, and Transmit to 3 rd Party	11
§170.315(f)(1) – Transmission to Immunization Registries	12
§170.315(f)(2) – Transmission to Public Health Agencies- Syndromic Surveillance	13
§170.315(f)(3) – Transmission to Public Health Agencies – Reportable Laboratory Tests and Values/Results	14
§170.315(g)(7) – Application Access – Patient Selection	15
§170.315(g)(8) – Application Access – Data Category Request	15
§170.315(g)(9) – Application Access- All Data Request	16
§170.315(h)(1) – Direct Project	17
Attestation.....	18

General Information

Plan Report ID Number: [For ONC-Authorized Certification Body use only]

Developer Name: Netsmart Technologies

Product Name(s): myAvatar Certified Edition

Version Number(s): 2021

Certified Health IT Product List (CHPL) ID(s): 15.04.2816.myAv.20.05.0.210430

Developer Real World Testing Page URL: <https://www.ntst.com/lp/certifications>

Justification for Real World Testing Approach

At this time, the myAvatar product is marketed toward the behavioral health care specialty setting. For this reason, the myAvatar Real World Testing plan will apply to this specialty care setting.

myAvatar is certified to a wide variety of Real World Testing (RWT) criteria. Netsmart identified use cases and measures for the criteria the myAvatar product is certified to which falls within the RWT scope.

The following care coordination criteria will be tested, § 170.315(b)(1) Transitions of care § 170.315(b)(2) Clinical information reconciliation and incorporation § 170.315(b)(6) Data export. Additionally, the product is certified to the all of the applicable clinical quality measures § 170.315(c)(1)-(3) and will test those. The product does support the patient engagement criteria § 170.315(e)(1) View, download, and transmit to 3rd party. As well as the following public health criteria Public Health § 170.315(f)(1) Transmission to immunization registries § 170.315(f)(2) Transmission to public health agencies — syndromic surveillance § 170.315(f)(3) Transmission to public health agencies — reportable laboratory tests and value/results. Last, the product will include measures for the following Application Programming Interfaces (APIs) criteria § 170.315(g)(7) Application access — patient selection § 170.315(g)(8) Application access — data category request § 170.315(g)(9) Application access — all data request and the electronic exchange criteria as well as § 170.315(h)(1) Direct project.

Standards Updates (SVAP and USCDI)

The Netsmart myAvatar certified product is not and has not participated in the Standards Version Advancement Process prior to August 31, 2021. Nor is the myAvatar certified product updated to the new United States Core Data for Interoperability (USCDI) version 1. Therefore, Netsmart does not have any data to include in this section of the Real World Testing plan. Netsmart plans to update to the USCDI version 1 and other updates specified in the 21st Century Cures Act in accordance with the required deadlines.

Standard (and version)	All standards are those specified prior to May 1, 2020.
Date of ONC-ACB notification (SVAP or USCDI)	Not applicable
Date of customer notification (SVAP only)	Not applicable
USCDI-updated criteria	None

Care Setting(s)

myAvatar supports the deployment and tracking of documentation within and outside of the behavioral health care specialty setting. The majority of clients using certified technology are doing so in outpatient settings.

Overall Expected Outcomes

Real World Testing will demonstrate that myAvatar is conformant to the following certification criteria:

- [§170.315\(b\)\(1\) – Transitions of Care](#)
- [§170.315\(b\)\(2\) – Clinical Information Reconciliation and Incorporation](#)
- [§170.315\(b\)\(6\) – Data Export](#)
- [§170.315\(c\)\(1\) – Clinical Quality Measures \(CQMs\) – Record and Export](#)
- [§170.315\(c\)\(2\) – Clinical Quality Measures \(CQMs\) – Import and Calculate](#)
- [§170.315\(c\)\(3\) – Clinical Quality Measures \(CQMs\) - Report](#)
- [§170.315\(e\)\(1\) – View, Download, and Transmit to 3rd Party](#)
- [§170.315\(f\)\(1\) – Transmission to Immunization Registries](#)
- [§170.315\(f\)\(2\) – Transmission to Public Health Agencies- Syndromic Surveillance](#)
- [§170.315\(f\)\(3\) – Transmission to Public Health Agencies – Reportable Laboratory Tests and Values/Results](#)
- [§170.315\(g\)\(7\) – Application Access – Patient Selection](#)
- [§170.315\(g\)\(8\) – Application Access – Data Category Request](#)
- [§170.315\(g\)\(9\) – Application Access- All Data Request](#)
- [§170.315\(h\)\(1\) – Direct Project](#)

Schedule of Key Milestones

Key Milestones	Date/Timeframe
Submit Real World Testing Plan documentation to Drummond.	November 15, 2021
Begin Collection of information as laid out by the plan for the period.	January 1, 2022
Follow-up with providers and authorized representatives on a regular basis to understand any issues arising with the data collection.	Quarterly, 2022
End of Real-World Testing period/final collection of all data for analysis.	December 31, 2022
Analysis and report creation.	January 15, 2023
Submit Real World Testing report to ACB (per their instructions)	February 1, 2023

Measures Used in Overall Approach

§170.315(b)(1) – Transitions of Care

Description of Measurement/Metric

The following measures will demonstrate the ability to send and receive transitions of care/referral summaries across multiple protocols and/or networks.

- Number of XDR/XDM referral messages sent within a 90-day period. (CareConnect Inbox)
- Number of XDR/XDM referral messages received withing a 90-day period. (CareConnect Inbox)
- Number of successful CCD retrievals from external organizations within a 90-day period. (Carequality)
- Number of successful CCDs provided to external organizations within a 90-day period. (Carequality)

Associated Certification Criteria

§170.315(b)(1) Transitions of Care	§170.315(b)(1)(i)(A)
Not updated to 2015 edition Cures Update criteria.	§170.315(b)(1)(i)(B)

Justification for Selected Measurement/Metric

The measurements selected demonstrate that referral messages can successfully be exchanged with external organizations using XDR/XDM direct messages. The measurements also show that an organization may successfully exchange CCDs upon request utilizing the Carequality network.

Test Methodology

Logs will be reviewed to determine the frequency and the transport mechanism used by providers for sending/receiving transitions of care using Edge protocols. Log files obtained during Real World Testing will be de-identified and used for analysis in several areas to validate the proper operation of the transport mechanisms and input for the calculation of the measure on the specific types of transport mechanisms used. This test methodology will primarily test the conformance of the implementation.

Care setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 90-day time period.

Expected Outcomes

It is expected that providers and patients (or their authorized representatives) will be able to share EHI using the transmission mechanisms provided. Error rates will be tracked and trended over time.

§170.315(b)(2) – Clinical Information Reconciliation and Incorporation

Description of Measurement/Metric

The measure will demonstrate the certified products ability to capture, reconcile, and incorporate clinical information within the client systems as needed.

- Numerator: Number of Clinical Reconciliations completed
- Denominator: Number of unique Patients with a completed Clinical Reconciliation

Associated Certification Criteria

§ 170.315 (b)(2) Clinical information and reconciliation and incorporation Not updated to 2015 edition Cures Update criteria.	§ 170.315 (b)(2)(i)
	§ 170.315 (b)(2)(iii)

Justification for Selected Measurement/Metric

Clinical Information Reconciliation may be completed multiple times in a given period on a single patient. This measure will demonstrate the volume from both an end-user perspective (Numerator), and a Patient perspective (Denominator).

Test Methodology

Our platform utilizes a centralized platform for these transactions, with logging, monitoring, and reporting capabilities. We will be able to report on these measures from the data made available by this centralized platform.

Care Setting(s)

Care Setting	Justification
Behavioral health care facilities	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 12-month time period.

Expected Outcomes

End Users will be able to utilize Clinical Information Reconciliation & Incorporation to ingest data from transitions of care/referrals.

Numerator will be larger than Denominator due to the ability to complete multiple Clinical Information Reconciliations on a single Patient in a given time period.

§170.315(b)(6) – Data Export

Description of Measurement/Metric

This measure will demonstrate the end user's ability to create export summaries on an as needed basis.

- Numerator: # of scheduled batch jobs for patient data export summaries
- Denominator: # of total patient data export summaries generated

Associated Certification Criteria

§ 170.315 (b)(6) Data Export Not updated to 2015 edition Cures Update criteria.	§ 170.315 (b)(6)(i)
	§ 170.315 (b)(6)(ii)
	§ 170.315 (b)(6)(iii)

Justification for Selected Measurement/Metric

The measurement selected demonstrates providers are able to generate a batch of CCDs for given criteria for a subset of their client population. In addition, this measurement will demonstrate the ability to schedule batch patient data export for generation.

Test Methodology

Log files will provide audit of batches generated and user access. Database tables within the certified product application contain a record of all scheduled jobs created. If there's no record of client usage, then we will utilize internal testing systems to demonstrate the ability to generate batch patient data export summaries.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 12-month time period.

Expected Outcomes

We anticipate this measure will demonstrate the ability to view the number of patient data export summaries generated via a schedule in relation to the total number of patient data export summaries generated.

§170.315(c)(1) – Clinical Quality Measures (CQMs) – Record and Export

Description of Measurement/Metric

This measure will demonstrate the certified products ability to record transactions in the record.

- Numerator: Number of transactions written from CareRecord
- Denominator: Number of unique CareRecord Instances that submitted transactions

Associated Certification Criteria

§ 170.315 (c)(1) Clinical Quality Measures (CQMs) – Record and Export Not updated to 2015 edition Cures Update criteria.	§170.315(c)(1)(i)
	§170.315(c)(1)(ii)

Justification for Selected Measurement/Metric

The Measures Reporting System, Care Pathways, includes two functionalities of interest: (A) Recording transactions entered into the System Under Test, and (B) calculating CQM results based on the recorded transactions. This measure will provide information on the volume of transactions recorded, and the breadth of our client base utilizing this functionality.

Test Methodology

Our platform utilizes a centralized platform for these transactions, with logging, monitoring, and reporting capabilities. We will be able to report on these measures from the data made available by this centralized platform.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 12-month time period.

Expected Outcomes

It is expected that End Users will be able to record EHI in the System and have that data available for use in calculation of CQM Results.

§170.315(c)(2) – Clinical Quality Measures (CQMs) – Import and Calculate

Description of Measurement/Metric

This measure will demonstrate the certified product's ability to import and calculate CQMs per patient.

- Numerator: Sum of CQMs calculated on imported patients
- Denominator: Number of unique Patients imported

Associated Certification Criteria

§ 170.315 (c)(2) Clinical Quality Measures (CQMs) – Import & Calculate	<i>§170.315(c)(2)(i)</i>
---	--------------------------

Justification for Selected Measurement/Metric

Our Measures Reporting System, Care Pathways, utilizes an internal protocol to share information between systems, therefore it is not necessary for our system to specifically use the QRDA CAT-I file for use on a day-to-day basis. For this reason, it will be highly unlikely for one of our Clients to utilize our available QRDA CAT-I file import feature. Therefore, we will be testing using the Cypress tool, noted below in the Test Methodology section.

Test Methodology

We will utilize Cypress to generate QRDA CAT-I files for import, and generate results based on that clinical data, across multiple CQMs.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will run the required test during the 2022 calendar year.

Expected Outcomes

Import QRDA CAT-I files into Measures Reporting system, process data and remove any potential duplicates, and generate results across multiple CQMs.

§170.315(c)(3) – Clinical Quality Measures (CQMs) - Report*Description of Measurement/Metric*

This measure will demonstrate the ability to export the required QRDA CAT-III File on demand.

- Numerator: Number of Clients (Agencies) to export a QRDA CAT-III File
- Denominator: Number of Clients (Agencies) to generate a QRDA CAT-III File

Associated Certification Criteria

§ 170.315 (c)(3) Clinical Quality Measures (CQMs) – Report Not updated to 2015 edition Cures Update criteria.	§170.315(c)(3)(i)
	§170.315(c)(3)(ii)

Justification for Selected Measurement/Metric

The Measures Reporting System, Care Pathways will generate a QRDA CAT-III file for each Certified CQM calculation, however Agencies will only download that file when the results are being utilized to upload into QPP and/or State-based portals.

Test Methodology

Internal tooling is available for monitoring and reporting QRDA CAT-III file generation and exporting, to track how many agencies are utilizing our measures on a day-to-day basis vs. when they are being used to attest with generated CAT-III files. Reporting is available to calculate this measure.

Care Setting(s)

Care Setting	Justification
--------------	---------------

Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 12-month time period.
--	---

Expected Outcomes

Agencies will calculate CQM results on a frequent basis, however, will only export their QRDA CAT-III file on an annual basis. Denominator will be much larger than Numerator.

§170.315(e)(1) – View, Download, and Transmit to 3rd Party

Description of Measurement/Metric

The measures identified will encompass the Number of Views, downloads, and transmits of patient chart summaries over number of successful views, downloads and transmits.

- View Chart summary
 - Numerator: # of views of the chart summary
 - Denominator: # of clients that had an encounter during the reporting period
- Download of chart summary
 - Numerator: # of downloads of chart summary
 - Denominator: # of clients that had an encounter during the reporting period
- Transmission of chart summary
 - Numerator: # of transmissions of chart summary
 - Denominator: # of clients that had an encounter during the reporting period

Associated Certification Criteria

§ 170.315 (e)(1) View, Download, and Transmit to 3rd Party Not updated to 2015 edition Cures Update criteria.	§170.315(e)(i)(A)
	§170.315(e)(i)(B)
	§170.315(e)(i)(C)

Justification for Selected Measurement/Metric

The measurements selected demonstrate that chart summaries can successfully be viewed and downloaded by patients and that they are able to successfully transmit to external parties.

Test Methodology

We will utilize a partner product, Intellichart, to access data related to the ability of clients to view, download, and transmit their data to external parties. Intellichart utilizes log files to capture the relevant data points.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 12-month time period.

Expected Outcomes

It is expected that patients (or their authorized representatives) will be able to view, download and transmit their chart summaries using the mechanisms provided. Error rates will be tracked and trended over time.

§170.315(f)(1) – Transmission to Immunization Registries**Description of Measurement/Metric**

This measure will demonstrate the ability to transmit data to immunization registries as required for compliance purposes.

- Numerator: Number of distinct immunization records in the denominator that sent recorded immunizations to an immunization registry
- Denominator: Number of distinct immunization records in a given month period

Associated Certification Criteria

§ 170.315 (f)(1) Transmission to Immunization Registries	§170.315(f)(1)(i)
	§170.315(f)(1)(ii)

Justification for Selected Measurement/Metric

This measure will provide information on the number of patients within a client's facility whose information is being sent to an immunization registry. Currently, there is only one known client utilizing the myAvatar certified products to send immunizations to a registry.

Test Methodology

The denominator will pull directly from the client database based on the parameters identified. The numerator will come from CareConnect tool which facilitates the connection between the EHR and Immunization registry.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. The

	myAvatar certified product only has one client currently sending information to immunization registries. This client is the one that will be utilized to demonstrate compliance.
--	--

Expected Outcomes

The identified measure will document the percentage of patients at the facility with immunization records being sent to an immunization registry.

§170.315(f)(2) – Transmission to Public Health Agencies- Syndromic Surveillance

Description of Measurement/Metric

The measure will demonstrate the ability to send syndromic surveillance records to public health agencies.

- Numerator: Number of distinct syndromic surveillance records in the denominator that sent syndromic surveillance records to a public health agency
- Denominator: Number of distinct syndromic surveillance records in a given month period

Associated Certification Criteria

§ 170.315 (f)(2) Transmission to public health agencies -syndromic surveillance	<i>§170.315(f)(2)</i>
--	-----------------------

Justification for Selected Measurement/Metric

This measure will provide information on the number of distinct syndromic surveillance records within a client's facility whose records have been sent to a public health agency. Currently, only one client utilizing the myAvatar certified product is sending syndromic surveillance records to public health agencies.

Test Methodology

The denominator will pull directly from the client database based on the parameters identified. The numerator will come from CareConnect tool which facilitates the connection between the EHR and a public health agency.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	The myAvatar certified product only has one client currently sending information to public health agencies. This client is the one that will be utilized to demonstrate compliance.

Expected Outcomes

The identified measure will document the percentage of syndromic surveillance records being sent to public health agencies.

§170.315(f)(3) – Transmission to Public Health Agencies – Reportable Laboratory Tests and Values/Results

Description of Measurement/Metric

These measures will demonstrate the ability to send reportable lab tests and vales/results to public health agencies.

- Number of distinct laboratory results that sent reportable records to a public health agency
- Number of distinct laboratory results received electronically in a given month period

Associated Certification Criteria

§ 170.315 (f)(3) Transmission to public health agencies – reportable laboratory tests and value/results	§170.315(f)(3)(i)
	§170.315(f)(3)(ii)

Justification for Selected Measurement/Metric

There are currently no clients on the myAvatar certified product that submit lab results to public health agencies. As a result, and to demonstrate our certified products ability to send results to public health agencies we will also utilize the testing tool leveraged during certification to prove the functionality is present.

Test Methodology

After pulling the parameters specified in the measures we will provide the results in the report due in 2023. In addition, we will utilize the NIST HL7v2 Electronic Laboratory Reporting (ELR) Validation Tool (2014 and 2015 Edition) to demonstrate the overarching ability to send reportable lab tests and values/results should we have clients that opt to do so in the future.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product.

Expected Outcomes

We expect to have zero results based on the measures identified. We are unaware of any clients on our certified products that do this type of reporting. However, Utilization of the testing tool & results from

the testing tool will demonstrate the certification criteria can be supported if clients choose to begin reporting lab results to public health agencies.

§170.315(g)(7) – Application Access – Patient Selection

Description of Measurement/Metric

This measure will demonstrate utilization of the FHIR R4 resources to search for patients.

- Number of Patient searches conducted using the FHIR R4 Patient endpoint during a 90-day window.

Associated Certification Criteria

§ 170.315 (g)(7) Application Access – Patient Selection	§170.315(g)(7)(i)
	§170.315(g)(7)(ii)

Justification for Selected Measurement/Metric

The FHIR R4 Patient endpoint will provide a variety of search parameters to support identification of a patient for subsequent searches. This measure will demonstrate that the search capability is available and utilized.

Test Methodology

Internal monitoring tools will provide utilization over the specified time period.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 90-day time period.

Expected Outcomes

We expect to see within the 90-day period utilization of the FHIR R4 Patient endpoint to search for a patient. The searches will result in a “searchset” Bundle listing all Patients that match the provided criteria. When no matches are found an empty Bundle will be returned to the requestor.

§170.315(g)(8) – Application Access – Data Category Request

Description of Measurement/Metric

This measure will demonstrate utilization of the FHIR R4 resources to read data for patients.

- Number of successful requests to the FHIR R4 endpoints excluding Patient and DocumentReference within a 90-day period.

Associated Certification Criteria

§ 170.315 (g)(8) Application Access – Data Category Request	§170.315(g)(8)(i)
	§170.315(g)(8)(ii)

Justification for Selected Measurement/Metric

The FHIR R4 endpoints will provide patient data upon request based on selected resource and the supplied parameters. This measure will demonstrate that the capability is available and utilized.

Test Methodology

Internal monitoring tools will provide utilization over the specified time period.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 90-day time period.

Expected Outcomes

We expect to see within the 90-day period utilization of the FHIR R4 endpoints to read or search for patient data of the following resource types:

- AllergyIntolerance
- Condition
- Immunization
- MedicationRequest
- Observation
- Procedure
- QuestionnaireResponse

§170.315(g)(9) – Application Access- All Data Request**Description of Measurement/Metric**

This measure will demonstrate utilization of the FHIR R4 document reference resource to generate or retrieve CCDs.

- Number of successful CCD retrievals using either the certified CCD or the FHIR R4 DocumentReference endpoints within a 90-day period.

Associated Certification Criteria

§ 170.315 (g)(9) Application Access – All Data Request Not updated to 2015 edition Cures Update criteria.	§170.315(g)(9)(i)
	§170.315(g)(9)(ii)

Justification for Selected Measurement/Metric

The certified CCD and the FHIR R4 DocumentReference endpoint will provide a generated CCD upon request based on the supplied parameters. This measure will demonstrate that the capability is available and can be utilized.

Test Methodology

Internal monitoring tools will provide utilization over time.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 90-day time period.

Expected Outcomes

We expect to see within the 90-day period utilization of the certified CCD and/or FHIR R4 DocumentReference endpoints to generate a CCD. The certified CCD endpoint will provide the generated CCD as XML. The FHIR R4 DocumentReference will provide the CCD as a Base64 encoded string attachment.

§170.315(h)(1) – Direct Project**Description of Measurement/Metric**

This measure will demonstrate the ability to send and receive direct project messages.

- Number of XDR/XDM direct message sent and received by type within a 90-day period.

Associated Certification Criteria

§ 170.315 (h)(1) Direct Project	§170.315(h)(1)(i)
	§170.315(h)(1)(ii)

Justification for Selected Measurement/Metric

This measure will demonstrate the types of messages that are supported for direct messaging.

Test Methodology

Logs will be reviewed to determine the frequency and the transport mechanism used by providers for sending/receiving transitions of care using Edge protocols. Log files obtained during Real World Testing will be de-identified and used for analysis in several areas to validate the proper operation of the transport mechanisms and input for the calculation of the measure on the specific types of transport mechanisms used. This test methodology will primarily test the conformance of the implementation.

Care Setting(s)

Care Setting	Justification
Behavioral health care specialty setting	This measure was designed to test the behavioral health care facilities we support and target with this certified product. We will pull data to test over a 90-day time period.

Expected Outcomes

We expect to see messages successfully sent and received of the following types during the reporting window:

- Message
- Notification
- Referral
- Referral Response

Attestation

This Real World Testing plan is complete with all required elements, including measures that address all certification criteria and care settings. All information in this plan is up to date and fully addresses the Health IT Developer's Real World Testing requirements.

Authorized Representative Name: Dru Anne Walz

Authorized Representative Email: Dwalz@ntst.com

Authorized Representative Phone: (913) 696-3477

Authorized Representative Signature:



Date: 11/12/2021

